

# PART B - FEE(S) TRANSMITTAL

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Commissioner for Patents  
P.O. Box 1450  
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20985

7590

06/14/2004

**FISH & RICHARDSON P.C.  
12390 EL CAMINO REAL  
SAN DIEGO, CALIFORNIA 92130**



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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

<b>Teri Barnett</b>	(Depositor's name)
<i>Teri Barnett</i>	(Signature)
<b>9/8/04</b>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/639,207	08/14/2000	Parsa Kazemi-Esfarjani	06618-686001	9459

TITLE OF INVENTION: ANIMAL MODEL OF POLYGLUTAMINE TOXICITY, METHODS OF USE, AND MODULATORS OF POLYGLUTAMINE TOXICITY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665	09/14/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
SULLIVAN, DANIEL M.	1636	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

**1. Fish & Richardson P.C.**

**2.**

**3.**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

**California Institute of Technology**

**Pasadena, CA**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☒ government

4a. The following fee(s) are enclosed:

☒ Issue Fee  
☐ Publication Fee  
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050. (enclose an extra copy of this form).

Director of Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

Joseph R. Baker, Jr. *[Signature]* (Date) **September 8, 2004**  
40,900

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

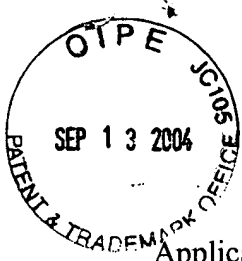
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09/14/2004 BABRAHA2 00000009 09639207

01 FC:2501  
02 FC:8001

665.00 OP  
30.00 OP

TRANSMIT THIS FORM WITH FEE(S)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Parsa Kazemi-Esfarjani et al.      Art Unit : 1636  
Serial No. : 09/639,207      Examiner : Daniel M. Sullivan  
Filed : August 14, 2000      Confirmation No.: 9459  
Notice of Allowance Date: June 14, 2004  
Title : ANIMAL MODEL OF POLYGLUTAMINE TOXICITY, METHODS OF USE,  
AND MODULATORS OF POLYGLUTAMINE TOXICITY

**MAIL STOP ISSUE FEE**

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RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed June 14, 2004, enclosed are a completed issue fee transmittal form PTOL-85b and a check for \$695 for the required fee, including patent copies.

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

9/8/04

Joseph R. Baker, Jr.  
Reg. No. 40,900

Fish & Richardson P.C.  
PTO Customer No. 20985  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 8, 2004

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